



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,477	07/30/2001	Adrian Bot	ALLIA.228A

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 3914

FORMALITIES LETTER



OC000000006556914

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1240.
 - \$1080 for 60 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2080.

*A copy of this notice **MUST** be returned with the reply.*

KSA7SON

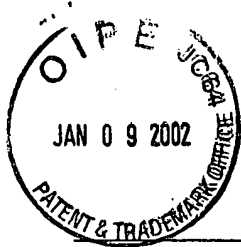
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2002 AGOITOM 00000013 09919477

1 FC:201	370.00 OP
2 FC:205	65.00 OP
3 FC:203	540.00 OP
4 FC:202	84.00 OP

COPY OF PAPERS
ORIGINALLY FILED



Section 8
PATENT #5

Case Docket No. ALLIA.228A
Date: November 8, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Bot, et al.
App. No. : 09/919,477
Filed : July 30, 2001
For : NOVEL METHODS AND
COMPOSITIONS TO
UPREGULATE, REDIRECT
OR LIMIT IMMUNE
RESPONSES TO
PEPTIDES, PROTEINS,
AND OTHER BIOACTIVE
COMPOUNDS AND
VECTORS EXPRESSING
THE SAME

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in
an envelope addressed to: Assistant Commissioner
for Patents, Washington, D.C. 20231, on

November 8, 2001

(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

Group Art Unit : Unknown

TRANSMITTAL LETTER

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),
which was mailed by the Office on September 14, 2001, enclosed are:

- (X) A copy of the executed Declaration by Inventors previously submitted along with an
Information Disclosure Statement on November 5, 2001.
- (X) A copy of the Power of Attorney Form and Copy of Assignment previously submitted
with an Information Disclosure Statement on November 5, 2001.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.

**COPY OF PAPERS
ORIGINALLY FILED**

PATENT

Case Docket No. ALLIA.228A

Date: November 8, 2001

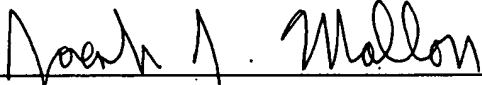
(X) Return prepaid postcard.

(X) Fees as calculated below:

FILING FEE		\$ 740
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$
SURCHARGE 37 CFR 1.16(e)		\$ 130
60 TOTAL CLAIMS OVER 20 = 60 X 18		\$ 1,080
2 INDEPENDENT CLAIMS OVER 3 = 2 X 84		\$ 168
TOTAL OF ABOVE CALCULATIONS		\$2,118
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 1,059		
TOTAL FEES SUBMITTED HERewith		\$1,059.00

(X) A check in the amount of \$1,059.00 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees, which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Joseph J. Mallon
Registration No. 39,287
Attorney of Record